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Atty Docket No. 25835-4001

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Castillo et al.

Serial No.:

09/748,748

Filing Date:

December 26, 2000

For:

POLYHYDROXYLATED AROMATIC COMPOUNDS FOR THE TREATMENT

OF AMYLOIDOSIS AND J-SYNUCLEIN FIBRIL DISEASES

#### POWER OF ATTORNEY BY ASSIGNEE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Revoking any and all powers of attorney and authorizations of agent heretofore made in respect of the above-captioned patent application, the Assignee of the entire interest in this patent application hereby appoints the following as its attorneys, with full power of substitution and revocation, to prosecute the application and to transact all business in the Patent and Trademark Office connected therewith:

STEPHANIE SEIDMAN, Registration No. 33,779; DAVID A, HALL, Registration No. 32,233, and DALE L. RIEGER, 43,045. Direct all telephonic inquiries to Stephanie Seidman at telephone no. (858) 450-8400.

Address all correspondence to:

Stephanie Seidman HELLER EHRMAN WHITE & McAULIFFE 4350 La Jolie Village Drive, 7th Floor San Diego, California 92122-1246

ProteoTech Inc.

Date: 4(19 14/02

Alan D. Sndw | | President and Chief Scientific Officer

☑ 003/003 ☑ 049

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OF AMYLOIDOSIS AND G-SYNUCLEIN FIBRIL DISEASES

#### CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

ProtecTech Inc., a corporation organized under the laws of of California, with a current business address of 12026 115th Avenue, N.E., Kirkland, Washington 98034, U.S.A., is the Assignee of the entire right, title and Interest in the above-captioned patent application by virtue of an Assignment from the inventors. A copy of the Assignment from the inventors to ProtecTech Inc., is recorded with the USPTO at Reel 011749, Frame 0179.

The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: #19 14/02

ProteoTech Inc.

President and Chief Scientific Officer

As a below named joint inventor, each of us hereby declares as follows:

 $\,$  My residence, post office address and citizenship are as stated below next to my name.

I believe that I am an original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Polyhydroxylated aromatic compounds for the treatment of amyloidosis and  $\alpha$ -synuclein fibril diseases

the specification of which was filed with the US PTO on December 26, 2000 as Application No. 09/748,748.

I state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I claim the benefit under 35 USC 119(e) of Provisional Application No. 60/173,958, filed December 30, 1998.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

Address all written correspondence to:

Customer Number 25213.

Direct all telephone calls to:

Derek P. Freyberg, at (650) 324-7014.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.

DATE INVENTOR'S SIGNATURE FIRST JOINT INVENTOR Gerardo M. Castillo CITIZENSHIP RESIDENCE Canada Seattle, Washington POST OFFICE ADDRESS 11725 82nd Avenue South, Seattle WA 98178 INVENTOR'S SIGNATURE SECOND JOINT INVENTOR Paula Y. Choi RESIDENCE U.S.A. Bothell, Washington POST OFFICE ADDRESS 11119 Woodenville Drive #108, Bothell WA 98011 INVENTOR'S SIGNATURE THIRD JOINT INVENTOR Alan D. Snow RESIDENCE U.S.A. Lynnwood, Washington POST OFFICE ADDRESS 3812 167th Place SW, Lynnwood WA 98037

Form PTO-1595 (modified)

1-3-92

# RECORDATION FORM COVER SHEET

U.S. Department of Commerce

Patent and Trademark Office

PATENT	SONLY
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.	
1. Name of conveying party(ies):	2. Name and address of receiving party(ies):
1. Gerardo M. CASTILLO 2. Paula Y. CHOI 3. Alan D. SNOW	Name: ProteoTech Inc. Internal Address:
Additional name(s) of conveying party(ies) attached? [] Yes [X] No	Street Address: 12026 115th Avenue N.E. Kirkland WA 98034
3. Nature of conveyance:	
[X] ASSIGNMENT - copy (2 pages)	Additional name(s) & address(es) attached? [ ] Yes [X] No
Execution Date: 1, 2, 3: 3/5/2001	
4. Application number(s) or patent number(s):	Attorney Docket No. <u>25835-0014A</u>
If this document is being filed together with a new application, the	execution date of the application is:
A. Patent Application No.(s) App. No. 09/748,748	B. Patent No (s)
Additional numbers attach	ed? [] Yes [X] No
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
Name: Heller Ehrman White & McAuliffe LLP	
Internal Address:	7. Total fee (37 CFR 3.41)
Street Address: 275 Middlefield Road	[X] Enclosed
City: Menlo Park State: CA Zip: 94025-3506	[] Authorized to be charged to deposit account
	8. Deposit Account Number:
	08-1641
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information the original document.  Derek P. Freyberg, Reg. No. 29,250  Name of Person Signing  Signature	is true and correct and any attached copy is a true copy of    1

WHEREAS, We, Gerardo M. Castillo, Paula Y. Choi, and Alan D. Snow, have invented certain subject matter entitled POLYHYDROXYLATED AROMATIC COMPOUNDS FOR THE TREATMENT OF AMYLOIDOSIS AND  $\alpha$ -SYNUCLEIN FIBRIL DISEASES (the "Invention"), for which we have executed U.S. Patent Application No. 09/748,748, filed in the US PTO on December 26, 2000;

AND WHEREAS, ProteoTech Inc., having an address at 12026 115th Avenue N.E., Kirkland WA 98034 ("ProteoTech") desires to perfect its right to the Invention and in the Letters Patent to be obtained therefor from the United States of America and all countries foreign thereto;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, We acknowledge ProteoTech's interest in the Invention and hereby assign to ProteoTech for the United States of America and for all countries foreign thereto, all our rights, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in the Invention, as described and claimed in Application No. 09/748,748, or in any continuation, division, reissue, reexamination or extension thereof and any legal equivalent thereof in a country foreign to the United States of America; the Invention, application and Letters Patent to be owned by ProteoTech, its successors, assigns and legal representatives, to the full end of the term for which such Letters Patent may be granted.

I have read and understand this Assignment document for Application No. 09/748,748 and agree hereto, as indicated by my signature as set forth below.

	With a second	Mar 5/01
FIRST JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Gerardo M. Castillo	Predan-	May 5/0
SECOND JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Paula Y. Choi	Morn hans	Mor. 5/0
THIRD JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
Alan D. Snow	A. C.	

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

First Inventor Name: Gerardo M. Castillo

App. No.:

09/748,748

Filing Date:

December 26, 2000

For:

Polyhydroxylated aromatic compounds for the treatment

of amyloidosis and  $\alpha$ -synuclein fibril diseases

Commissioner for Patents Washington, D.C. 20231

Sir:

## POWER OF ATTORNEY BY ASSIGNEE AND STATEMENT UNDER 37 CFR 3.73

ProteoTech Inc. ("ProteoTech") states that it is the assignee of the entire right, title and interest in the application identified above by virtue of an assignment from the inventors, a copy of which is attached hereto.

As assignee of the entire interest of the above identified application, ProteoTech hereby appoints the practitioners at Customer Number 25213 as its attorneys and agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

The undersigned is authorized to act on behalf of the assignee.

ProteoTech Inc

**D** - - 12 - 3

Alan D. Snow, Ph.D

President and C\$0

Date:

Har 5/01

283402 v01.SV (62\_@01!.DOC) 02/27/01 9:24 PM WHEREAS, We, Gerardo M. Castillo, Paula Y. Choi, and Alan D. Snow, have invented certain subject matter entitled POLYHYDROXYLATED AROMATIC COMPOUNDS FOR THE TREATMENT OF AMYLOIDOSIS AND  $\alpha$ -SYNUCLEIN FIBRIL DISEASES (the "Invention"), for which we have executed U.S. Patent Application No. 09/748,748, filed in the US PTO on December 26, 2000;

AND WHEREAS, ProteoTech Inc., having an address at 12026 115th Avenue N.E., Kirkland WA 98034 ("ProteoTech") desires to perfect its right to the Invention and in the Letters Patent to be obtained therefor from the United States of America and all countries foreign thereto;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, We acknowledge ProteoTech's interest in the Invention and hereby assign to ProteoTech for the United States of America and for all countries foreign thereto, all our rights, title and interest, including all rights under the Paris Convention for the Protection of Industrial Property, in the Invention, as described and claimed in Application No. 09/748,748, or in any continuation, division, reissue, reexamination or extension thereof and any legal equivalent thereof in a country foreign to the United States of America; the Invention, application and Letters Patent to be owned by ProteoTech, its successors, assigns and legal representatives, to the full end of the term for which such Letters Patent may be granted.



I have read and understand this Assignment document for Application No. 09/748,748 and agree hereto, as indicated by my signature as set forth below.

FIRST JOINT INVENTOR INVENTOR'S SIGNATURE

Gerardo M. Castillo

SECOND JOINT INVENTOR INVENTOR'S SIGNATURE

Paula Y. Choi

THIRD JOINT INVENTOR INVENTOR'S SIGNATURE

Alan D. Snow

